

## TRANSITION LEGITIMACY TOOL

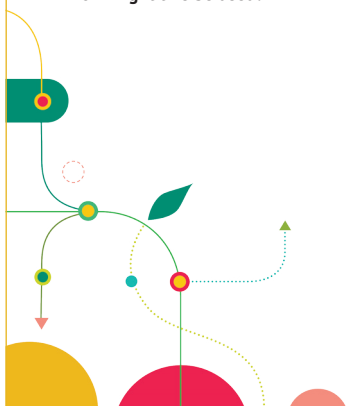
**WHAT IS THE NAME OF YOUR PARTICIPATORY TRANSFORMATIVE PROCESS? WHAT IS ITS GOAL?**

**ANSWER THE EMPIRICAL QUESTIONS TO DEEPLY ASSESS EACH TYPE OF LEGITIMACY**

| TYPE OF LEGITIMACY    | DIMENSION                          | QUESTION  | ANSWER |
|-----------------------|------------------------------------|---|--------|
| Input Legitimacy      | Citizen participation              | What opportunities for participation are provided?  |        |
|                       | Eliciting alternative perspectives | What sustainability and justice perspectives are taken up?  |        |
| Throughput legitimacy | Quality of participation           | In which ways is the quality of participation enhanced?   |        |
|                       | Checks and balances                | What checks and balances are involved in the process?   |        |
|                       | Cultural imaginaries               | Which cultural imaginaries are deliberated?   |        |
|                       | Institutional work                 | Which institutions are challenged?  |        |
|                       | Reflexivity                        | In which ways are ongoing questioning and adaptation encouraged?  |        |
| Output legitimacy     | Responsiveness                     | Which outcomes are effective, and responsive to the wishes of the actors involved?                              |        |
|                       | Guided action                      | Which concrete actions are linked to institutional change and long-term cultural imaginaries?                   |        |
|                       | Collective empowerment             | Which outcomes are linked to changes in social relations and roles?   |        |
|                       | Reflexive governance               | In which ways are actors held accountable for the outcomes? In which ways are outcomes adapted to new insights? |        |

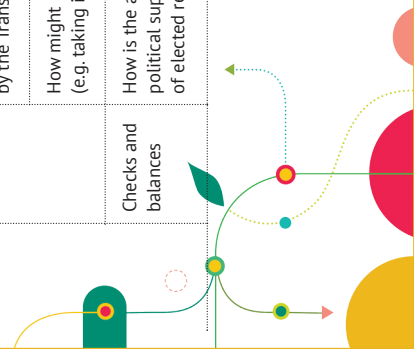
**LEGITIMACY POSITIONING:** Next, to position the process as legitimate in your organisation answer the following questions after completing the previous sections:

- What steps need to be taken to improve or safeguard democratic and transition legitimacy in your process?
- How might this be used?



## SCAFFOLDING QUESTIONS: TRANSITION LEGITIMACY TOOL

| TYPE OF LEGITIMACY    | DIMENSION                          | LOWER ORDER QUESTIONS  | ANSWER | HIGHER ORDER QUESTION (EMPIRICAL QUESTION)                 |
|-----------------------|------------------------------------|--|--------|--|
| Input Legitimacy      | Citizen participation              | Who is 'the citizen'?  |        | What opportunities for participation are provided?         |
|                       |                                    | What different types of representation or interaction opportunities are created?   |        |  |
|                       |                                    | What is the influence of the Transition Team/ initiators in deciding who is invited?   |        |  |
|                       |                                    | What is the motive behind involving citizens: instrumental or intrinsic?   |        |  |
|                       |                                    | Who or what is changing? Is it individual action or institutional change?  |        |  |
|                       |                                    | What perspectives are included? Are there perspectives that are excluded? Reflect on the seeming assumption that 'all' perspectives or 'unheard voices' are represented. |        |  |
| Throughput legitimacy | Eliciting alternative perspectives | Which perspectives are Dominant? Why are others suppressed?  |        | What sustainability and justice perspectives are taken up? |
|                       |                                    | How can 'unheard voices' be included? Why should identified 'unheard voices' be included or excluded?  |        |  |
|                       |                                    | How are education, mobilisation, and awareness framed within your context?   |        |  |
|                       |                                    | Are citizens actually mobilised through communication and awareness raising?   |        |  |
| Checks and balances   | Quality of participation           | Is the participation process framed as neutral by the Transition Team?   |        | In which ways is the quality of participation enhanced?    |
|                       |                                    | How might participation create tensions and conflicts? (e.g. taking into account power dynamics, trade-offs, etc.)   |        |  |
|                       |                                    | How is the approval process vulnerable to changing political support? Can you define the involvement of elected representatives?   |        |  |



| SCAFFOLDING QUESTIONS: TRANSITION LEGITIMACY TOOL - CONTINUED |                        |  |  |
|---|------------------------|--|--|
| TYPE OF LEGITIMACY  | DIMENSION              | LOWER ORDER QUESTIONS  | HIGHER ORDER QUESTION (EMPIRICAL QUESTION)   |
| Throughput legitimacy   | Cultural imaginaries   | Is the focus of your transformative ambition on metrics or on a cultural shift?  | Which cultural imaginaries are deliberated?  |
|   |                        | How are responsibilities framed? (with individuals or organisations, voluntary commitments vs. institutional, legal, etc.)   |  |
|   | Institutional work     | Are fragmentation and siloes limited (e.g. within a municipality or other organisation)?   | Which institutions are challenged?   |
|   |                        | Is regulatory change reflected in actions or not? Is there an emphasis on experiments, awareness campaigns, education, etc.?   |  |
| Output legitimacy   | Reflexivity            | How do key performance indicators relate to reflexivity?   | In which ways is ongoing questioning and adaption encouraged?  |
|   | Responsiveness         | Does increased collaboration lead to improved effectiveness in your system?  | Which direct and indirect outcomes are effective, and responsive to the wishes of the actors involved? What are unintended consequences and unexpected consequences? |
|   | Guided action          | How can financial commitment be untied from plans and approval by councils?  | Which concrete actions are linked to institutional change and long-term cultural imaginaries?  |
|   |                        | How do short-term actions contribute to long-term ambitions?   |  |
|   | Collective empowerment | What are ways and strategies to support individual and collective empowerment of the actors involved? How can the actors feel a 'sense of ownership' of the actions? | Which outcomes are linked to changes in social relations and roles?  |
|   |                        | What is the focus of your monitoring approach?   |  |

